



PATENT
DOCKET NO. SPV-049.02

In re Application of: Persons, P.E., et al.

Application No: 10/808,252

Filed: March 24, 2004

For: Piperidine-Piperazine Ligands for
Neurotransmitter Receptors

Art Unit: 1624

Examiner: Not Yet Known

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on *August 23, 2004*


Shirine Darvish

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Forms PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Under 35 U.S.C. §120, this application has the benefit of the filing date of U.S. Patent Application 10/087,609, filed March 1, 2002, now US Patent 6,713,479. Copies of references AA-AW listed on the Forms PTO-1449 were submitted to the Office in the parent application; therefore, they are not required to be provided in this application. However, references AX-AZ are enclosed as these were cited in a communication from the U.S. Patent and Trademark Office in a related US application.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office Action** on the merits, or before the mailing of a **first Office Action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that **[check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]**:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Dana M. Gordon, Ph.D.
Attorney for Applicant(s)
Foley Hoag LLP
155 Seaport Boulevard
Boston, Massachusetts 02210-2600
Tel. No. (617) 832-1000
Fax No. (617) 832-7000

Date: August 23, 2004
Reg. No. 44,719

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) SPV-049.02		Application Number 10/808,252
	Applicant Persons, Paul E.		
	Filing Date March 24, 2004		Group Art Unit 1624

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS*(Including Author, Title, Date, Pertinent Pages Etc.)*

AX	Basic & Clinical Pharmacology, (6 th Ed.) by Appleton & Lange, pp. 132-141 (1995).
AY	Van Tol, et al., "Cloning Of The Gene For A Human Dopamine D4 Receptor With High Affinity For The Antipsychotic Clozapine", Nature, Vol. 350, pp. 610-614 (1991).
AZ	Robichaud, A.J., et al., "Recent Advances in Selective Serotonin Receptor Modulation", Annual Reports in Medicinal Chemistry, Vol. 35, pp. 11-20 (2000).

EXAMINER		DATE CONSIDERED
----------	--	-----------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

Docket Number (Optional)
SPV-049.01(20473-4901)

Application Number
10/087,609

Applicant
Persons and Radeke

Filing Date
March 01, 2002

Group Art Unit
1614

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AV	US 6,255,302 B1	07/03/01	Kelly et al.	514	217.05

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS
(Including Author, Title, Date, Pertinent Pages Etc.)

	AW	International Search Report Completed on June 29, 2002 and Mailed on August 08, 2002

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

(Use several sheets if necessary)

Application Number
10/087,607

Filing Date
March 01, 2002

Group Art Unit
1614

U.S. PATENT DOCUMENTS

Form PTO-1449

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION***(Use several sheets if necessary)*

Docket Number (Optional)

SPV-049.01 (20473-4901)

Application Number

10/087,607

Applicant

Persons and Radeke

Filing Date

March 01, 2002

Group Art Unit

1614

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	AS	WO 95/25733	09/28/95	PCT			X
	AT	WO 98/57953	12/23/98	PCT			X
	AU	WO 99/65906	12/23/99	PCT			X

OTHER DOCUMENTS*(Including Author, Title, Date, Pertinent Pages Etc.)*

--	--

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE